

10,763,569

PATENT

Case Docket No. GLAUKO.046A

Date: February 20, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Hosheng Tu, Ph.D.
Appi. No. : Unknown
Filed : January 23, 2004
For : VASOMODULATION
DURING GLAUCOMA
SURGERY
Examiner : Unknown
Group Art Unit : Unknown

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

February 20, 2004

(Date)

James W. Hill, M.D., Reg. No. 46,396

TRANSMITTAL LETTER

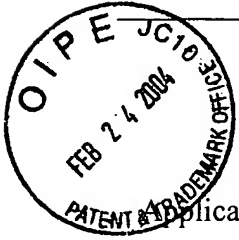
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with Thirty-Three (33) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

James W. Hill, M.D.
Registration No. 46,396
Attorney of Record
Customer No. 20,995
(949) 760-0404

**INFORMATION DISCLOSURE STATEMENT**

Applicant : Hosheng Tu, Ph.D.
App. No. : Unknown
Filed : January 23, 2004
For : VASOMODULATION DURING
GLAUCOMA SURGERY
Examiner : Unknown
Group Art Unit : Unknown

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

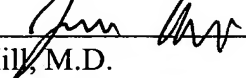
Enclosed is form PTO-1449 listing 33 references that are also enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 2/20/04

By: 
James W. Hill, M.D.
Registration No. 46,396
Attorney of Record
Customer No. 20,995
(949) 760-0404

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
GLAUKO.046AAPPLICATION NO.
UnknownINFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT
Hosheng Tu, Ph.D.FILING DATE
January 23, 2004GROUP
Unknown

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	4,440,769	04/03/84	DeBernardis, et al.			
	2	4,599,353	07/08/86	Bito			
	3	4,797,413	01/10/89	Baldwin, et al.			
	4	4,846,172	07/11/89	Berlin			
	5	5,021,410	06/04/91	Burke ✓			
	6	5,093,329	03/03/92	Woodward			
	7	5,153,192	10/06/92	Dean, et al.			
	8	5,180,721	01/19/93	Burke			
	9	5,215,991	01/01/93	Burke			
	10	5,238,961	08/24/93	Woodward, et al.			
	11	5,240,923	08/31/93	Dean, et al.			
	12	5,262,437	11/16/93	Chan			
	13	5,312,842	05/17/94	Chan			
	14	5,321,128	06/14/94	Stjerschantz, et al.			
	15	5,328,933	07/12/94	Chan			
	16	5,352,708	10/04/94	Woodward, et al.			
	17	5,378,703	01/03/95	Dean, et al.			
	18	5,545,665	08/13/96	Burk			
	19	5,552,434	09/03/96	Garst, et al.			
	20	5,688,819	11/18/97	Woodward, et al.			
	21	6,090,825	07/18/00	Andrews, et al.			
	22	6,329,369	12/11/01	Chow, et al.			
	23	6,638,239	10/28/03	Bergheim, et al. ✓			
	24	6,666,841	12/23/03	Gharib, et al. ✓			
	25	2002 / 0133168	09/19/02	Smedley, et al.			
	26	2002 / 0143284	10/03/02	Tu, et al.			
	27	2003 / 0060752	03/27/03	Berheim, et al.			

EXAMINER

DATE CONSIDERED

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

FORM PTO-1449

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January 23, 2004GROUP
Unknown

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	28	WO 97/30710	08/97	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	29	Hill, et al., <i>Lasers in Surgery and Medicine</i> 11:341-346, 1991
	30	Timmermans, et al., <i>Nauyn-Schmiedeberg's Arch. Pharmacol.</i> , 310,189 (1979)
	31	Ruffolo, <i>Pharm. Biochem and Behavior</i> , 22, 827 (1985)
	32	A Novel Ocular Hypotensive Lipid <i>Glaucoma Clinical Pharmacology II, 1998, Abstract B58, IVOS 1998 Vol. 39</i>
	33	The Efficacy of Isopropyl Unoprostone in the Concomitant Application, <i>Glaucoma Clinical Pharmacology, 1998, Abstract B56, IVOS 1998 Vol. 39</i>

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EXAMINER

DATE CONSIDERED

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